



Appendix C – Investment Monthly Scoring Criteria
Part 1 of 2

Rating Category	Red	Yellow	Green
Risk Management Supporting Documents: •Risk Management Plan in eCPIC •Monthly IT Security Assessment	<ul style="list-style-type: none"> •Risk management plan has not been submitted or •Risk management table in risk management plan is not complete or •Date of risk management plan is greater than one year old, •IT security problems exist (e.g., past due C&As) and have not been addressed. 	<ul style="list-style-type: none"> •Risk management plan is less than one year old, but risk management issues exist (e.g., risk-costs not provided) or •IT security problems exist (e.g., past due C&As), but are being addressed. 	<ul style="list-style-type: none"> •Risks are being actively managed and •Date of risk management plan is less than one year old, •No significant IT security problems exist
Requirements Management Supporting Documents: •ECP/Decision Lens sessions •Operational analysis •Various EA artifacts	<ul style="list-style-type: none"> •For the current phase of the investment, requirements were not completed or •The business value of the investment has not been validated by the appropriate stakeholders or •The investment does not comply with USDA performance, business, data, application, technology and security architectures. 	<ul style="list-style-type: none"> •For the current phase of the investment, requirements have been completed, but the business value of the investment has not validated by appropriate stakeholders. •The investment partially complies with USDA performance, business, data, application, technology and security architectures. 	<ul style="list-style-type: none"> •For the current phase of the investment, requirements have been completed and the business value of the investment has validated by appropriate stakeholders. •For the current phase of the investment, it complies with USDA performance, business, data, application, technology and security architectures.
Historical/Performance Measures Supporting Documents: •Rebaseline docs •Performance goals and Exh. 300 Performance table	<ul style="list-style-type: none"> •Performance table and measures are not up-to-date and •Performance measures are vague and there is no description of how the performance measure results are determined. 	<ul style="list-style-type: none"> •Performance table and measures are up-to-date, but •Performance measures are vague and there is no description of how the performance measure results are determined. 	<ul style="list-style-type: none"> •Performance table and measures are up-to-date and •Performance measures are clearly tied to overall organizational goals and objectives.



Part 2 of 2 Monthly Scoring Criteria

Rating Category	Red	Yellow	Green
Human Capital Supporting Documents: •PM training records and PMP certificates	<ul style="list-style-type: none"> •PM qualification section is not complete or •PM has not been identified or correctly updated for the investment or •PM has been identified, but is not qualified at the correct level, and is not currently scheduled to take any qualification courses or •PM qualification review process has not been initiated 	<ul style="list-style-type: none"> •PM has been identified and he/she is currently taking the qualification courses and activities 	<ul style="list-style-type: none"> •PM is qualified at the level of the investment
Contractor Oversight Supporting Documents: •Contractor oversight information (e.g., EVM reports, current status reports, risk logs) •Acquisition plan in eCPIC •Exh. 300 contracting table	<ul style="list-style-type: none"> •Contractor oversight is not being performed or •EVM reports, current status reports and/or risk logs are not submitted by contractors to the government or are submitted less frequently than on a quarterly basis or •Acquisition plan is incomplete or absent. 	<ul style="list-style-type: none"> •Contractor oversight is being performed on a limited basis or •EVM reports, current status reports, or risk logs are submitted infrequently or •Acquisition plan is incomplete 	<ul style="list-style-type: none"> •Contractor oversight is being performed and •EVM reports, current status reports and/or risk logs are submitted by contractors to the government or are submitted at least once a month or •Acquisition plan is complete.
Cost and Schedule Supporting Documents: •EVM report for DME investments •Operational analysis and progress report for SS investments in eCPIC •Exh. 300 Milestone table •Exh. 300 Summary of Funding table	<ul style="list-style-type: none"> •EVM: Either cost or schedule performance is not within 10% variance for DME or Mixed Lifecycle investments •Operational Analysis not completed for SS investments •Milestone table not updated •Summary of Funding table is not aligned with milestones 	<ul style="list-style-type: none"> •EVM: Both cost and schedule performance is within 10% variance for DME or Mixed Lifecycle investments •Operational Analysis done poorly or is incomplete for SS investments •Milestone table is updated •Summary of Funding table is aligned with Milestones 	<ul style="list-style-type: none"> •EVM: Both cost and schedule performance is within 10% variance for DME or Mixed Lifecycle investments •Operational Analysis completed for SS investments •Milestone table updated •Summary of Funding table is aligned with Milestones